CHEMICAL WEAPONS CONVENTION
GUIDANCE NOTES:
FOR ANNUAL PAST ELECTRONIC DECLARATION
FOR SCHEDULE 3 CHEMICALS

These Guidance Notes accompany a notice served under section 22(1) of the Chemical Weapons Act 1996 ("the 1996 Act"). The statutory notice requires the full and accurate completion of an electronic declaration form(s). The electronic declaration form(s) must be completed in accordance with these Guidance Notes. The duly completed electronic declaration form(s) must then be submitted electronically via the Chemical Weapons Convention Declaration Database to the UK Chemical Weapons Convention National Authority. The notice specifies a date by which you must ensure that the Authority receives the completed electronic declaration form(s).

The notice under section 22(1) creates a legal obligation which must be complied with. Failure to return the completed electronic declaration form(s) by the due date without reasonable excuse is an offence contrary to section 22(3) of the 1996 Act. It is also an offence, contrary to section 22(4) of the 1996 Act, knowingly to provide false or misleading information in completing the electronic declaration form(s).

These guidance notes explain how to complete an electronic declaration of past activity in past calendar year for Schedule 3 chemicals. You must read them carefully before completing the electronic declaration form(s).

Completed electronic declaration form(s) should be submitted to the National Authority via the Chemical Weapons Convention Declarations Database no later than the Deadline Date as specified on the Legal Notice for this declaration.
1. **Background to Annual Past Declaration**

An Annual Past Declaration is required for all sites, which in the *given year* produced more than 30 tonnes of a chemical listed in Schedule 3 to the Chemical Weapons Convention.

This quantity is the quantity at which electronic declarations are required to be made. The verification threshold is 200 tonnes, at which point the site can be inspected by the OPCW.

A copy of the seventeen (17) chemicals covered under Schedule 3 of the Chemical Weapons Convention is at Annex A to these guidance notes. Declarable Schedule 2 plants also need to be detailed and a copy of the chemicals covered under Schedule 2 of the CWC is at Annex B to these guidance notes.

The production of mixtures, which contain greater than 30% of a Schedule 3 chemical, may also need to be declared and detailed guidance on how to deal with mixtures is at Annex D to these guidance notes.

The flow chart at Annex E is for use in clarifying whether an organisation needs to make an electronic declaration, particularly in relation to mixtures that contain Schedule 3 chemicals.

2. **Format of the Annual Past Electronic Declaration Form**

Part A of the electronic declaration form asks for information about the site where Schedule 3 chemicals are produced.

Part B of the electronic declaration form asks for information on all plants on the site where Schedule 3 chemicals are produced. At Part B a separate record must be completed for each plant producing Schedule 3 chemicals, except where the total production on site is less than 30 tonnes. The following examples are included to help clarify this statement:

- **Example 1.** Three separate plants on site producing 20, 5 and 15 tonnes respectively of a Schedule 3 chemical. This example requires 3 separate Part B submissions, one for each plant as the total is above the declaration threshold of 30 tonnes produced over the entire site.
- **Example 2.** Three separate plants on site producing 10, 5 and 6 tonnes respectively of a Schedule 3 chemical. This example does not require any submissions as the total is below the declaration threshold of 30 tonnes produced over the entire site.

Part C of the electronic declaration form asks for information on each Schedule 3 chemical above the declaration threshold of 30 tonnes produced over the entire site. At Part C a separate record must be completed for each Schedule 3 chemical produced on site, which in total, is above the declaration threshold.
Annex A contains a list of Schedule 3 chemicals.
Annex B contains a list of Schedule 2 chemicals.
Annex C contains a list of product groups.
Annex D contains definitions of terms used in the declaration form.
Annex E contains a flow chart on whether or not a declaration is required.
Annex F contains a list of Crown and Dependent Territories

3. Confidentiality and Security of Information

Level of Confidentiality of Information (Already pre-filled but can be amended)

A box should be ticked to assign a level of confidentiality to the information that is being provided. This classification only applies to actual data submitted in the electronic declaration and not to information supplied to the OPCW as part of an inspection (which may be accorded a higher category of confidentiality, if necessary).

Definitions are provided below on the types of classification. All information, including that classified "not restricted" is stored within secure areas and is only passed to those with a need to know. A protected or highly protected classification should therefore be used only in exceptional circumstances.

The definitions for each of the classifications are:

**Not Restricted** - Information that is marked not restricted will not be released by the Chemical Weapons Convention National Authority or the Organisation for the Prohibition of Chemical Weapons, unless specifically cleared for release. To request release, the OPCW would contact the NA who, in turn, would ask the company for permission to release any information.

**Restricted** - Information the unauthorised disclosure of which would be prejudicial to the interests of a commercial or governmental body or of a national of a State Party.

**Protected** - Information the unauthorised disclosure of which may cause substantial damage to the interests of a commercial or governmental body or of a national of a State Party.

**Highly Protected** - Sensitive, confidential information the unauthorised disclosure of which would cause serious damage from the point of view of national security or commercial secrecy to the interests of a commercial or governmental body or of a national of a State Party.

*Declarations should be accorded the lowest appropriate classification. Not Restricted or Restricted should be chosen unless there is a process awaiting patent, or some other sensitive commercial consideration. However, for Schedule 3 chemicals, if the product is being sold to the open market in bulk, and capacity is known, then no restrictions should apply.*
APD Schedule 3 Flow Chart (Already pre-filled but can be amended)

Please answer the questions to determine whether it is necessary to make a Schedule 3 declaration for the site. These questions are an electronic implementation of the flowchart in Annex F.
Part A. SITE DETAILS

The electronic declaration should only contain information relating to a single site and not multiple sites.

**Question A1/A2 – Name of site and site address (Already pre-filled)**

Insert name commonly used for site and the site address. "Site" (Works, Factory) is defined as the local integration of one or more plants, with any intermediate administrative levels, which are under one operational control, and includes common infrastructure, such as:

i) Administration and other offices;
ii) Repair and maintenance shops;
iii) Medical centre;
iv) Utilities;
v) Central analytical laboratory;
v) Research and development laboratories;
vii) Central effluent and waste treatment area; and
viii) Warehouse storage.

**Question A3 – Name of Site Operator (Already pre-filled)**

Insert Site operator's name

**Question A4 - Site Operator’s Address (Already pre-filled)**

Only complete this section if the site operator’s address is different from the site address

**Questions A5 – Name of Site Owner (Already pre-filled)**

Only complete this section if the site owner is different from the site operator.

**Questions A6 – Site Owner’s Address (Already pre-filled)**

Only complete this section if the site owner's address is different from the site address.

**Question A7 – Number of Declarable Schedule 2 Plants at the Site (Already pre-filled)**

The number of declarable schedule 2 plants at the site needs to be identified in order to ascertain whether the site is a "mixed plant" site. This means sites that contain a plant or plants, which are covered by more than one schedule or have different plants that are covered by different schedules.

A copy of the chemicals covered under Schedule 2 of the CWC is at Annex B.
A Schedule 2 plant is a plant, which in *given year* produced, processed or consumed a chemical listed in Schedule 2 to the Chemical Weapons Convention in excess of

- 1 kg of a chemical designated "*" in Schedule 2, part A and/or
- 100 kg of any other chemical listed in Schedule 2, part A and/or
- 1 tonne of any chemical listed in Schedule 2, part B

These quantities are the thresholds at which electronic declarations are required to be made. They are one tenth of the verification thresholds, at which point the site can be inspected by the OPCW.

Additionally plants, which produced, processed or consumed mixtures containing Schedule 2 chemicals must also be declared if, in addition to exceeding the above threshold, they contain, at any point in the operation, Schedule 2 chemicals at concentrations greater than or equal to the following:

Production/processing/consumption of Schedule 2A and 2A* - 1%

Production/ processing/consumption of Schedule 2B - 30%

If the answer to this question is “YES”, an electronic declaration for Schedule 2 chemicals must also be completed. Please contact the CWC National Authority if you require registering as a Schedule 2 declarer.

NB: Please refer to the definitions of production, processing and consumption at Annex D to these guidance notes.

**Question A8 – Did the Site Produce more than 200 Tonnes of a Schedule 3 Chemical in *given year*?**

A "yes" response to this question should be given if in *given year* the absolute quantity of Schedule 3 chemical produced exceeded 200 tonnes. This is the level above which verification of a site will take place.

NB: Please also refer to the definition of chemical mixtures at Annex D to these guidance notes.
Part B. PLANT DETAILS

At Part B a separate record should be completed for each declarable plant (producing Schedule 3 chemicals) on the site.

Question B1 – Plant Name (Already pre-filled)

Insert the name commonly used for plant. "Plant" (Production facility, Workshop) is a relatively self-contained area, structure or building containing one or more units with auxiliary and associated infrastructure, such as:

(i) Small administrative section;
(ii) Storage/handling areas for feedstock and products;
(iii) Effluent/waste handling/treatment area;
(iv) Control/analytical laboratory;
(v) First aid service/related medical section; and
(vi) Records associated with the movement into, around and from the site, of declared chemicals and their feedstock or product chemicals formed from them, as appropriate.

Questions B2/3 – Building and Structure Name and/or Number (Already pre-filled)

Provide the name or number, if there is one, of the building or structure.

Question B4 – Plant Operator (Already pre-filled)

Only complete this section if the operator of the plant is different from the site owner, i.e. the plant is run by a sub-contractor or subsidiary company.

Question B5 – Plant Operator’s Address (Already pre-filled)

Only complete this section if the plant operator’s address is different from the site owner's address

Question B6/7 – Name of Plant Owner and Owner’s Address (Already pre-filled)

Only complete this section if the plant owner is different from the site owner and the address is different from the site address.

Question B8 – Product Groups (Already pre-filled but can be amended)

Use the product group codes listed at Annex C to describe the products that are manufactured at this plant. Include all activities, including those involving non-scheduled chemicals.
Part C. SCHEDULE 3 CHEMICAL DETAILS AT THIS SITE

This section relates to the plant site. If the same chemical is produced in more than one plant (or at more than one concentration greater than the concentration limit in Annex D) the amounts should be combined into a single Section C for that chemical. Please also include quantities of the declared chemical, which are produced in non-declarable plants at this site.

At Part C a separate record should be completed for each Schedule 3 chemical to be produced above the declaration threshold or for chemical mixtures containing a Schedule 3 chemical above the threshold and greater than the concentration limit in Annex D.

Question C1 – Name of Plant(s) at which the Schedule 3 chemical is to be Produced (Already pre-filled)

In order to link a Schedule 3 chemical with a specific plant(s) please give the name of the declared plant(s), for which a Part B has been completed, in which the chemical is to be produced.

Questions C2/3/4 – Full Description of the Schedule 3 Chemical (Already pre-filled but can be amended)

The International Union of Pure and Applied Chemistry (IUPAC) chemical name, common or trade name and the Chemical Abstracts Service registry number (if assigned) should be provided in response to these questions. The structural formula should also be included as an attachment to the declaration form, where practicable.

Question C5 – Annual Production for given year

Record here the total amount of the Schedule 3 chemical that was produced in given year. If the Schedule 3 chemical is contained in a mixture, the total amount of the mixture and percentage concentration should also be provided.

NOTE – Aggregate National Data (AND)

An Aggregate National Data (AND) electronic declaration should be completed if the volume of Schedule 3 chemical production falls below APD thresholds - see part 1 of the guidance: ‘Background to Annual Past Declaration’. You will need to provide the UK National Authority with certain basic quantitative data for amalgamation and inclusion in the UK’s declaration. Please contact the UK National Authority if you require registering as an Aggregate National Data (AND) declarer.

Question C6 – Purpose of Production of the Schedule 3 Chemical (Already pre-filled but can be amended)

The purpose of production of the Schedule 3 chemical should be ticked. Please note the following guidance on the boxes:
• **In-line consumption as produced (captive use).** If the chemical is not sent to a storage container (e.g. tank, vessel, or drum) before being consumed in another process on site (this includes waste treatment e.g. phosgene being consumed in scrubbers) then the "in-line consumption as produced (captive use)" box should be selected.

• **Synthetic intermediate stored and/or used on site.** If the chemical is stored for use in another process on site then the "synthetic intermediate stored and/or used on site" box should be selected.

• **Transfer to other sites.** If the chemical is shipped from the site then the "transfer to other sites" box should be selected.

**Question C7 – Imports of the Schedule 3 chemical in given year, from countries outside the UK**

Record here the total quantities of the Schedule 3 chemical imported from outside United Kingdom of Great Britain and Northern Ireland (UK) in the given year from each country. If the Schedule 3 chemical is present as a mixture, the amount of the mixture should also be provided. Please give quantities in tonnes to three significant figures (where available).

Please see Annex F for countries that are Crown Dependencies and British Overseas Territories. Imports from these countries do not need to be declared.

**Question C8 – Exports of the Schedule 3 chemical in given year, to countries outside the UK**

Record here the total quantities of the Schedule 3 chemical exported outside the UK in the year given year to each destination country. Direct exports do not include goods sold to a trader in the UK for onward export. If the Schedule 3 chemical is present as a mixture, the amount of the mixture should also be provided. Please give quantities in tonnes to three significant figures (where available).

Please see Annex F for countries that are Crown Dependencies and British Overseas Territories. Exports to these countries do not need to be declared.
PART D. CHEMICAL SOURCES

Part D of the electronic declaration form asks for the details of all the UK companies from whom you obtained the Schedule 3 chemicals in your possession in given year.

In order to ensure that the UK National Authority is aware of all relevant companies/entities involved in declarable CWC Schedule 3 chemical activities, the details of all the UK companies from whom you obtained the Schedule 3 chemicals in your possession in given year is required. If you do not source Schedule 3 chemicals from the UK (i.e. you import or you produce your own) Part D is not applicable.

At Part D a separate record must be completed for additional sources as necessary

Section D1 and D2 – Company Name and Address (Already pre-filled but can be amended)

Insert the name and address of the UK company(ies) from which you have sourced the Schedule 3 chemicals in your possession in given year.

Section D3 – Schedule 3 Chemicals Provided by the Company (Already pre-filled but can be amended)

Insert the chemical name, common or trade name and the Chemical Abstracts Service registry number (if assigned) of each Schedule 3 chemical supplied by the company detailed in Sections D1 and D2.

PART E. CUSTOMER DETAILS

Part E of the electronic declaration form asks for the details of all the UK companies to whom you sent the Schedule 3 chemicals in your possession in given year.

In order to ensure that the UK National Authority is aware of all the relevant companies/entities involved in declarable CWC Schedule 3 chemical activities, the details of all the UK companies you sent the Schedule 3 chemicals to in given year is required. If you do not sell Schedule 3 chemicals to other UK organisations (i.e. you export or you only consume and/or process without selling the mixture on (if the mixture is sold to a UK company/entity then the relevant concentration level needs applying to determine whether the customer details need to be provided)) Part E is not applicable.

At Part E a separate record must be completed for additional customers as necessary

Section E1 and E2 – Company Name and Address (Already pre-filled but can be amended)
Insert the name and address of the UK company(ies) to whom you sent the Schedule 3 chemicals in your possession in *given year*.

**Section E3 – Schedule 3 Chemicals Supplied to the Company (Already pre-filled but can be amended)**

Insert the chemical name, common or trade name and the Chemical Abstracts Service registry number (if assigned) of each Schedule 3 chemical supplied to the company detailed in Sections E1 and E2.

**Declaration**

The electronic declaration form must be electronically signed/authorised by a person of the appropriate level of responsibility who is in a position to verify the accuracy of the information and who has legal authority to sign on behalf of the company/other organisation.

Contact details can be updated using the ‘Update My Details’ link from the workbasket menu.

**Position (Already pre-filled but can be amended)**

Please choose the description which most closely matches your position within the organisation on whose behalf you are submitting the declaration.

**Viewing Previous Years Declarations**

To view your sites previous years electronic declarations you should access the “CWC Declarations” option on the left hand menu which goes to a search screen. You can then access and view all past declarations.
ANNEXES TO ANNUAL PAST DECLARATION GUIDANCE NOTES FOR SCHEDULE 3 CHEMICALS

Annex A. Schedule 3 Chemicals

<table>
<thead>
<tr>
<th>Chemicals</th>
<th>Chemical Abstract Service Number (CAS)</th>
<th>Annual Production Over Which Declarations Are Required</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A Toxic Chemicals:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 Phosgene: Carbonyl dichloride</td>
<td>(75-44-5)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>2 Cyanogen chloride</td>
<td>(506-77-4)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>3 Hydrogen cyanide</td>
<td>(74-90-8)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>4 Chloropicrin: Trichloronitromethane</td>
<td>(76-06-2)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td><strong>B Precursors:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Phosphorus oxychloride</td>
<td>(10025-87-3)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>6 Phosphorus trichloride</td>
<td>(7719-12-2)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>7 Phosphorus pentachloride</td>
<td>(10026-13-8)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>8 Trimethyl phosphate</td>
<td>(121-45-9)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>9 Triethyl phosphate</td>
<td>(122-52-1)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>10 Dimethyl phosphate</td>
<td>(868-85-9)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>11 Diethyl phosphate</td>
<td>(762-04-9)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>12 Sulfur monochloride</td>
<td>(10025-67-9)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>13 Sulfur dichloride</td>
<td>(10545-99-0)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>14 Thionyl chloride</td>
<td>(7719-09-7)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>15 Ethyldiethanolamine</td>
<td>(139-87-7)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>16 Methyl diethanolamine</td>
<td>(105-59-9)</td>
<td>30 tonnes</td>
</tr>
<tr>
<td>17 Triethanolamine</td>
<td>(102-71-6)</td>
<td>30 tonnes</td>
</tr>
</tbody>
</table>
## Annex B. Schedule 2 Chemicals

<table>
<thead>
<tr>
<th>Chemical</th>
<th>Chemical Abstract Service Number (CAS)</th>
<th>Annual Production, Processing, or Consumption Over Which Declarations Are Required</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A Toxic Chemicals:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 Amiton: O,O-Diethyl 8-[2- (diethylamino)ethyl] phosphorothiolate and corresponding alkylated or protonated salts</td>
<td>(78-53-5)</td>
<td>100 kg</td>
</tr>
<tr>
<td>2 PFIB: 1,1,3,3,3-Pentafluoro-2-(trifluoromethyl)-1-propene</td>
<td>(382-21-8)</td>
<td>100 kg</td>
</tr>
<tr>
<td>3 BZ: 3-Quinuclidinyl benzilate (*)</td>
<td>(6581-06-2)</td>
<td>1 kg</td>
</tr>
<tr>
<td><strong>B Precursors:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Chemicals, except for those listed in Schedule I, containing a phosphorus atom to which is bonded one methyl, ethyl or propyl (normal or iso) group but not further carbon atoms, e.g. Methylphosphonyl dichloride Dimethylmethylphosphonate Exemption: Fonofos: O-Ethyl 8-phenyl ethylphosphonothiolothionate</td>
<td>(676-97-1) (756-79-6) (944-22-9)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>5 N,N-Dialkyl (Me, Et, n-Pr or i-Pr) phosphoramidic dihalides</td>
<td></td>
<td>1 tonne</td>
</tr>
<tr>
<td>6 Dialkyl (Me, Et, n-Pr or i-Pr) N,N-dialkyl (Me, Et, n-Pr or i-Pr)- phosphoramidates</td>
<td></td>
<td>1 tonne</td>
</tr>
<tr>
<td>7 Arsenic trichloride</td>
<td>(7784-34-1)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>8 2,2-Diphenyl-2-hydroxyacetic acid</td>
<td>(76-93-7)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>9 Quinuclidin-3-ol</td>
<td>(1691-34-7)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>10 N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethyl-2-chlorides and corresponding protonated salts</td>
<td></td>
<td>1 tonne</td>
</tr>
<tr>
<td>11 N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethane-2-ols and corresponding protonated salts Exemptions: N,N-Dimethylaminoethanol and corresponding protonated salts N,N-Diethylaminoethanol and Corresponding protonated salts</td>
<td>(108-01-0) (100-37-8)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>12 N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethane-2-thiols and corresponding protonated salts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Thiodiglycol: Bis(2-hydroxyethyl) sulfide</td>
<td>(111-48-8)</td>
<td>1 tonne</td>
</tr>
<tr>
<td>14 Pinacolyl alcohol: 3,3-Dimethylbutane-2-ol</td>
<td>(464-07-3)</td>
<td>1 tonne</td>
</tr>
</tbody>
</table>
### Annex C. Product Groups

<table>
<thead>
<tr>
<th>Code</th>
<th>Description: Chemicals and Related Products</th>
</tr>
</thead>
<tbody>
<tr>
<td>511</td>
<td>Hydrocarbons and their halogenated, sulphonated, nitrated or nitrosated derivatives</td>
</tr>
<tr>
<td>512</td>
<td>Alcohols, phenols, phenol-alcohols, and their halogenated, sulphonated, nitrated or nitrosated derivatives</td>
</tr>
<tr>
<td>513</td>
<td>Carboxylic acids and their anhydrides, halides, peroxides and peroxyacids; their halogenated, sulphonated, nitrated or nitrosated derivatives</td>
</tr>
<tr>
<td>514</td>
<td>Nitrogen-function compounds</td>
</tr>
<tr>
<td>515</td>
<td>Organo-inorganic compounds, heterocyclic compounds, nucleic acids and their salts, and sulphonamides</td>
</tr>
<tr>
<td>516</td>
<td>Other organic chemicals</td>
</tr>
<tr>
<td>522</td>
<td>Inorganic chemical elements, oxides and halogen salts</td>
</tr>
<tr>
<td>523</td>
<td>Metal salts and peroxysalts, of inorganic salts</td>
</tr>
<tr>
<td>524</td>
<td>Other inorganic chemicals; organic and inorganic compounds of precious metals</td>
</tr>
<tr>
<td>525</td>
<td>Radioactive and associated materials</td>
</tr>
<tr>
<td>531</td>
<td>Synthetic organic colouring matter and colour lakes, preparations based thereon</td>
</tr>
<tr>
<td>532</td>
<td>Dyeing and tanning extracts, and synthetic tanning materials</td>
</tr>
<tr>
<td>533</td>
<td>Pigments, paints, varnishes and related materials</td>
</tr>
<tr>
<td>541</td>
<td>Medicinal and pharmaceutical products, other than medicaments of Group 542</td>
</tr>
<tr>
<td>542</td>
<td>Medicaments (including veterinary medicaments)</td>
</tr>
<tr>
<td>551</td>
<td>Essential oils, perfume and flavour materials</td>
</tr>
<tr>
<td>553</td>
<td>Perfumery, cosmetic or toilet preparations (excluding soaps)</td>
</tr>
<tr>
<td>554</td>
<td>Soap, cleansing and polishing preparations</td>
</tr>
<tr>
<td>562</td>
<td>Fertilizers (other than those of Group 272)</td>
</tr>
<tr>
<td>571</td>
<td>Polymers of ethylene, in primary forms</td>
</tr>
<tr>
<td>572</td>
<td>Polymers of styrene, in primary forms</td>
</tr>
<tr>
<td>573</td>
<td>Polymers of vinyl chloride or of other halogenated olefins in primary forms</td>
</tr>
<tr>
<td>574</td>
<td>Polycacetals, other polyethers and epoxide resins, in primary forms; Polycarbonates, alkyd resins, polylally esters and other polyester</td>
</tr>
<tr>
<td>575</td>
<td>Other plastics, in primary forms</td>
</tr>
<tr>
<td>579</td>
<td>Waste, parings and scraps, of plastics</td>
</tr>
<tr>
<td>581</td>
<td>Tubes, pipes and hoses, and fittings therefor, of plastics</td>
</tr>
<tr>
<td>582</td>
<td>Plates, sheets, film, foil and strip, of plastics</td>
</tr>
<tr>
<td>583</td>
<td>Monofilament of which any cross-sectional dimension exceeds 1mm, rods, sticks and profile shapes, whether or not surface-worked but not otherwise worked, of plastics</td>
</tr>
<tr>
<td>591</td>
<td>Insecticides, rodenticides, fungicides, herbicides, anti-sprouting products, put up in forms or packings for retail sale or as preparations or articles (e.g. sulphur-treated bands, wicks and candles, and fly papers)</td>
</tr>
<tr>
<td>592</td>
<td>Starches, inulin and wheat gluten; albuminoidal substances; glues</td>
</tr>
<tr>
<td>593</td>
<td>Explosives and pyrotechnic products</td>
</tr>
<tr>
<td>597</td>
<td>Prepared additives for mineral oils and the like; Prepared liquids for hydraulic transmission; Anti-freezing preparations and prepared de-icing fluids; Lubricating preparations</td>
</tr>
<tr>
<td>598</td>
<td>Miscellaneous chemical products</td>
</tr>
<tr>
<td>599</td>
<td>Others</td>
</tr>
</tbody>
</table>
Annex D. Definitions

The definitions below follow the definitions in the Chemical Weapons Convention and might be slightly different from their normal meaning within the chemical industry.

**Production**
Production is defined under the CWC as “formation through a chemical reaction or synthesis” - that is, arising from a chemical change involving the formation and/or breaking of chemical bonds.

It is understood, for declaration purposes, to include all steps in the production of a chemical in any units within the same plant through chemical reaction, including any associated processes (e.g. purification, separation, extraction, distillation, or refining) in which the chemical is not converted into another chemical. The exact nature of any associated process (e.g. purification, etc.) is not required to be declared.

It is also understood, for declaration purposes, to include intermediates, by-products, or waste products that are produced and consumed within a defined chemical manufacturing sequence, where such intermediates, by-products, or waste products are chemically stable and therefore exist for a sufficient time to make isolation from the manufacturing stream possible, but where, under normal or design operating conditions, isolation does not occur.

**Processing**
Processing is physical manipulation of a chemical without a chemical reaction taking place that is without the formation or breaking of chemical bonds. Examples of processing include formulation, extraction, purification, crystallisation, distillation, condensation, consumption, dilution, concentration, compression and dispersion. Any waste disposal processes occurring on site involving a Schedule 3 chemical, which do not result in the consumption of that chemical (e.g. disposal of waste containing a Schedule 3 chemical in a landfill on site or blending of such waste with other materials), should also be considered as processing. However, activities such as repackaging and distribution are not considered to be processing.

**Consumption**
Consumption of a chemical means its conversion into another chemical through a chemical reaction involving the making or breaking of chemical bonds. Therefore, some forms of waste disposal in which the Schedule 3 chemical is converted into another chemical, such as incineration, biodegradation or hydrolysis, e.g. in a scrubber system, should be considered as consumption.

**Import**
An import is the physical movement of scheduled chemicals into the territory or any other place under the jurisdiction or control of the UK from the territory or any other place under the jurisdiction or control of another country, excluding transit operations.
You must specify the country from which the scheduled chemicals were dispatched, excluding the countries through which the scheduled chemicals transited and regardless of the country in which the scheduled chemicals were produced.

Declare chemicals that are directly imported by your organisation from outside the UK. Direct imports do not include imported goods bought from or through a trader in the UK. Imports from the Crown Dependencies and British Overseas Territories (Annex F) do not need to be declared.

**Export**
An export is the physical movement of scheduled chemicals out of the territory or any other place under the jurisdiction or control of the UK into the territory or any other place under the jurisdiction or control of another country, excluding transit operations. You must specify the intended country of destination, excluding the countries through which the scheduled chemicals transited.

Declare chemicals directly exported by your organisation to outside the UK. Direct exports do not include goods sold to a trader in the UK for onward export. Exports to the Crown Dependencies and British Overseas Territories (Annex F) do not need to be declared.

**Transit Operation**
Transit operations are the physical movements in which scheduled chemicals pass through the territory of a country on the way to their intended country of destination. Transit operations include changes in the means of transport, including temporary storage only for that purpose.

**Chemical Mixtures**
An electronic declaration is required for mixtures containing Schedule 3 chemicals where, at any point in the operation, the concentration of the Schedule 3 chemical is greater than 30%.

This concentration level also applies to the import and export of chemical mixtures containing Schedule 3 chemicals.

It is important to note that if the trigger threshold of 30 tonnes of absolute chemical is not exceeded then an AND declaration is required. Please contact the UK National Authority to register as an AND declarer.
Annex E. Flow Chart

START

Is the site a Schedule 3 chemicals producer of > 30 tonnes of Sch 3?

- No
- Yes, Neat
  - No
  - Yes, Mixture
    - At any point does the Schedule 3 mixture contain: > 30% of Schedule 3 chemicals
      - No
      - Yes
        - No
        - Yes
          - No Declaration Required
          - APD DECLARATION REQUIRED

Is the site a Schedule 3 chemicals producer of < 30 tonnes of Sch 3, or a Sch 3 importer or exporter?

- No
- Yes
  - No
  - Yes
    - Contact the National Authority for appropriate Forms and Guidance notes
Annex F: Crown Dependencies and British Overseas Territories

Crown Dependencies
- Guernsey
- Isle of Man
- Jersey

British Overseas Territories
- Anguilla
- Bermuda
- British Antarctic Territory
- British Indian Ocean Territory
- British Virgin Islands
- Cayman Islands
- Falkland Islands
- Gibraltar
- Montserrat
- Pitcairn Islands
- South Georgia and the South Sandwich Islands
- St Helena (with sub-dependencies Tristan da Cunha and Ascension Island)
- Turks and Caicos Islands